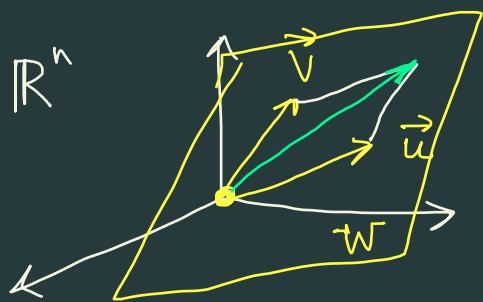


Section 3.4: Subspaces, Basis, Dimension

In \mathbb{R}^n subspace: $W \subseteq \mathbb{R}^n$:

- ① $W \neq \emptyset$
- ② CA: closed under addition
- ③ CSM: closed under scalar mult.



Thms of note

- 1) $\text{Span}(\{\vec{v}_1, \dots, \vec{v}_k\})$ is a subspace
- 2) $\vec{0}_V \in W$ if $W \subseteq \mathbb{R}^n$
- 3) $\dim(W) \leq n$ when $W \subseteq \mathbb{R}^n$

In (V, \oplus, \odot) :

def A subset $W \subseteq (V, \oplus, \odot)$ satisfies:

- ① W is non-empty.
- ② Closed under Vector Addition (CVA)
- ③ Closed under Scalar Mult. (CSM)

$$\forall \vec{w}_1, \vec{w}_2 \in W \Rightarrow \vec{w}_1 \oplus \vec{w}_2 \in W$$

$$\forall r \in \mathbb{R}, \forall \vec{w} \in W \Rightarrow r \odot \vec{w} \in W$$

As before call V the ambient space.

Thm W is a subspace of (V, \oplus, \odot) iff (W, \oplus, \odot) is a Vector Space.
 say \oplus, \odot is "inherited from V ".

PF (\Leftarrow) ✓

(\Rightarrow) • VSA: 3, 4, 7, 8, 9, 10: automatically true b/c $W \subseteq V$.

↳ again: "inheritance"

- VSA1 ✓ same as CVA
- VSA2 ✓ same as CSM

- $\vec{0}_W$? VSA5
- $\forall \vec{w} \in W, -\vec{w} \in W$? VSA6

- $\vec{0}_W = \vec{0}_V$:

Since W is non-empty, $\exists \vec{w} \in W$.

By CSM, since $0 \in \mathbb{R}$: $0 \odot \vec{w} \in W$.

Since: $0 \odot \vec{w} = \vec{0}_V$ (zero properties)

so $\vec{0}_V \in W$. By uniqueness of zero: $\vec{0}_W = \vec{0}_V$.

- Add Inverses:

Since $W \subseteq V$, $\forall \vec{w} \in W$, $\vec{w} \in V$, so $\forall SAB$ on V :

$\exists -\vec{w} \in V$ so $\vec{w} \oplus (-\vec{w}) = \vec{0}_V = (-\vec{w}) \oplus \vec{w}$.

By CSM: $-\vec{w} = (-1) \odot \vec{w} \in W$. So $-\vec{w} \in W$.
↑
prop. proved previously,

★ key in LA★

Thm "Span is a subspace"

- Assume $S = \{\vec{v}_i \mid i \in I\} \subseteq (V, \oplus, \odot)$ where $I \subseteq \mathbb{R}$ (non-empty)

- Let $W = \text{Span}(S)$. **Thm** $W \subseteq V$, ie is a subspace.

pf Since I is non-empty, S is non-empty, & $S \subseteq \text{Span}(S)$, so $\text{Span}(S)$ is non-empty, so (1) is true in def of subspace.

CVA Let $\vec{w}_1, \vec{w}_2 \in W$.

Since \vec{w}_1, \vec{w}_2 are LEs of S :

\exists finite indexing sets: $i_1 < i_2 < \dots < i_n$: so that.

$i'_1 < i'_2 < \dots < i'_m$:

so that:

$$\vec{w}_1 = r_1 \odot \vec{v}_{i_1} \oplus \dots \oplus r_n \odot \vec{v}_{i_n} \quad (r_1, r_2, \dots, r_n \in \mathbb{R})$$

$$\vec{w}_2 = s_1 \odot \vec{v}_{i'_1} \oplus \dots \oplus s_m \odot \vec{v}_{i'_m} \quad (s_1, \dots, s_m \in \mathbb{R})$$

let $S_1 = \{i_1, \dots, i_n\}$, $S_2 = \{i'_1, \dots, i'_m\}$, let

$$S_3 = S_1 \cup S_2 = \{l_1, l_2, \dots, l_k\} \text{ where } k \leq n+m$$

(note: k could be $< n+m$ b/c S_1 & S_2 could share indices).

Define $c_j, d_j \in \mathbb{R}$:

$$c_j = \begin{cases} r_j & \text{if } j \in S_1 \\ 0 & \text{otherwise} \end{cases} \quad d_j = \begin{cases} s_j & \text{if } j \in S_2 \\ 0 & \text{otherwise} \end{cases}$$

$$\vec{w}_1 = r_1 \vec{v}_{i_1} + \dots + r_n \vec{v}_{i_n} = c_1 \vec{v}_{l_1} + c_2 \vec{v}_{l_2} + \dots + c_k \vec{v}_{l_k}$$

$$\vec{w}_2 = s_1 \vec{v}_{i'_1} + \dots + s_m \vec{v}_{i'_m} = d_1 \vec{v}_{l_1} + d_2 \vec{v}_{l_2} + \dots + d_k \vec{v}_{l_k}$$

Then

$$\vec{w}_1 \oplus \vec{w}_2 = (c_1 + d_1) \odot \vec{v}_{l_1} \oplus (c_2 + d_2) \odot \vec{v}_{l_2} \oplus \dots \oplus (c_k + d_k) \odot \vec{v}_{l_k}$$

Is this a LC of vectors from S' ?

yes! a finite LC of vectors from S' !

$$\in \text{Span}(S') = W.$$

vs A8.9

\vec{v}_{i_n}

CSM $\forall r \in \mathbb{R}, \vec{w}_1 \in W$: $r \odot \vec{w}_1 = r \odot (r_1 \odot \vec{v}_{i_1} \oplus \dots \oplus r_n \odot \vec{v}_{i_n}) = (r r_1) \odot \vec{v}_{i_1} \oplus \dots \oplus (r r_n) \odot \vec{v}_{i_n}$
as before. □

Ex $\mathcal{F}(\mathbb{R}, \mathbb{R}) = \{ f: \mathbb{R} \rightarrow \mathbb{R} \mid \text{function} \} = \mathcal{V}$

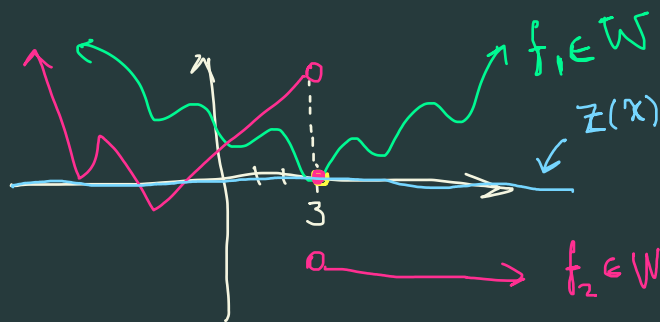
$W = \{ f \in \mathcal{V} \mid f(3) = 0 \}$.

show W is a subspace:

1) W is non-empty:

Note: $z(x) = 0 \forall x \in \mathbb{R}$

satisfies $z(3) = 0$, so $z(x) \in W$.



2) Closure: let $f, g \in W$. Then $f(3) = 0, g(3) = 0$.

So $\underbrace{(f+g)}_{f \oplus g}(x) = f(x) + g(x) \forall x \in \mathbb{R}$ (pointwise-principle)

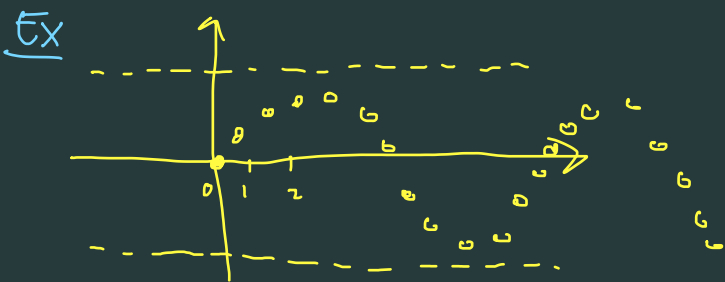
$(f+g)(3) = f(3) + g(3) = 0 + 0 = 0$, so $f+g \in W$.

3) CSM: $kf \in W$ since $(kf)(3) = k \cdot f(3) = k \cdot 0 = 0$.

Ex $W_1 = \{ f \in \mathcal{F}(\mathbb{R}, \mathbb{R}) \mid f(3) = 3 \}$ is W_1 a subspace?

Ex $\ell_\infty = \{ \text{all bounded sequences} \}$

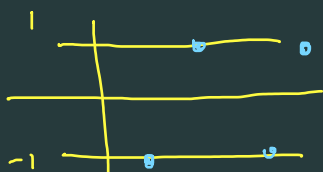
$= \{ \langle x_n \rangle_{n=1}^\infty \mid x_n \in \mathbb{R}, \text{ bounded: } \exists M \in \mathbb{R} (M < \infty) : |x_n| \leq M \forall n \in \mathbb{N} \}$



Ex $\langle \frac{1}{n} \rangle_{n=1}^\infty = \langle 1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots \rangle \in \ell_\infty$



Ex $\langle (-1)^n \rangle_{n=1}^\infty$



$W = \{ \text{sequences w/ only a finite number non-zero ts} \}$.

$C_0 = \{ \text{sequences "eventually" zero} \}$.

$c_0 = W = \{ \text{sequences eventually zero} \}$. NTS $c_0 \subseteq l_\infty$ & $c_0 \triangleleft l_\infty$.

• $\langle x_n \rangle_{n=1}^\infty \in c_0 \Rightarrow \langle x_n \rangle_{n=1}^\infty \in l_\infty$:

↳ $\langle x_1, x_2, x_3, \dots, x_n, 0, 0, 0, \dots \rangle$ is it bounded?

yes! $M = \max \{ x_1, \dots, x_n \}$. Then $|x_n| \leq M \quad \forall n \in \mathbb{N}$.

• CVA: $\langle x_n \rangle, \langle y_n \rangle \in c_0$:

↳ $\langle x_1, x_2, \dots, x_n, 0, 0, 0, \dots \rangle$

$\langle y_1, y_2, \dots, y_m, 0, 0, 0, \dots \rangle$

Then let $k = \max(n, m)$.

$\langle x_n \rangle + \langle y_n \rangle = \langle x_1 + y_1, x_2 + y_2, \dots, x_k + y_k, 0, 0, 0, \dots \rangle \in c_0$.

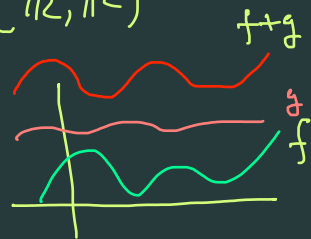
so when $n \geq k$, it is zero!

Big Ex on $\mathcal{F}(\mathbb{R}, \mathbb{R})$

• $\mathcal{C}(\mathbb{R}, \mathbb{R}) = \{ f \in \mathcal{F}(\mathbb{R}, \mathbb{R}) \mid f \text{ is continuous} \} \triangleleft \mathcal{F}(\mathbb{R}, \mathbb{R})$

ex: CVA: f, g are continuous:

$f + g$ is continuous (Calc 1)



• $\mathcal{D}(\mathbb{R}, \mathbb{R}) = \{ f \in \mathcal{F}(\mathbb{R}, \mathbb{R}) \mid f \text{ is differentiable} \}$

ex: CVA: f, g are differentiable: $f + g$ is diff (Calc 1)

Moreover: $(f + g)' = f' + g'$ (Calc 1)

• $\mathcal{C}'(\mathbb{R}, \mathbb{R}) = \{ f \text{ has continuous derivative, i.e. } f' \text{ is a continuous function} \}$.

• $\mathcal{C}^n(\mathbb{R}, \mathbb{R}) = \{ f, f', f'', \dots, f^{(n)} \text{ \& } f^{(n)} \text{ is continuous} \}$.

• $C^\infty(\mathbb{R}, \mathbb{R}) = \{ \text{infinitely differentiable functions} \}$

$$e^x, \sin(x), \cos(x), \frac{1}{1+x^2}$$

BASIS ~~*~~ key topic in LA ~~*~~

def A set of vectors $B \subseteq (V, \oplus, \odot)$ is a basis for V if B is non-empty, B is LI, & $\text{Span}(B) = V$.

Ex $B = \{x^n \mid n \in \mathbb{N}\} = \{1, x, x^2, \dots\}$ is a basis for \mathbb{P} .

$S_n = \{1, x, x^2, \dots, x^n\}$ is a basis for \mathbb{P}^n . Note $\mathbb{P}^n \subseteq \mathbb{P}$.

Ex $M_{m \times n}(\mathbb{R}) = \{ \text{all } m \times n \text{ matrices w/ entries in } \mathbb{R} \}$.

• let's build a basis for $M_{m \times n}$.

$E = \{ E_{ij} \mid i \in \{1, 2, \dots, m\}, j \in \{1, 2, \dots, n\} \}$ has $m \cdot n$ matrices.

$$E_{11} = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix}, \quad E_{12} = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix}, \dots$$

$$E_{ij} = \begin{bmatrix} 0 & \dots & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{bmatrix}, \dots, \quad E_{mn} = \begin{bmatrix} 0 & \dots & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & \dots & 1 \end{bmatrix}$$

Is E LI?

DTE $c_{11}E_{11} + c_{12}E_{12} + \dots + c_{mn}E_{mn} = [0]_{m \times n}$

• look at i, i entry: LHS: $c_{11} + 0 + 0 + \dots + 0$, RHS 0

$$\hookrightarrow c_{11} = 0.$$

• look at i, j entry: LHS: $0 + 0 + \dots + c_{ij} + 0 + \dots + 0$, RHS 0

$$\hookrightarrow c_{ij} = 0$$

• all coefficients $c_{11}, c_{12}, \dots, c_{ij}, \dots, c_{mn} = 0$. LI!

• Is E spanning?

Yes, tedious:

$$A = (a_{ij})_{m \times n} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix} = a_{11} \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{bmatrix} + a_{12} \begin{bmatrix} 0 & 1 & 0 & \dots \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{bmatrix} + \dots$$

$$= a_{11} E_{11} + a_{12} E_{12} + \dots + a_{mn} E_{mn}$$

so A is a LC of matrices in E !

~~Thm~~ $S = \{\vec{v}_i \mid i \in I\}$, $I \neq \emptyset$, $\subseteq (V, \oplus, \odot)$.

S is a basis iff every vector $\vec{v} \in V$ can be uniquely represented as a LC of a finite subset of vectors

$\{\vec{v}_{i_1}, \vec{v}_{i_2}, \dots, \vec{v}_{i_n}\}$ from S :

$$\vec{v} = c_1 \vec{v}_{i_1} + c_2 \vec{v}_{i_2} + \dots + c_n \vec{v}_{i_n}$$

c_1, c_2, \dots, c_n are unique & $\vec{v}_{i_1}, \dots, \vec{v}_{i_n}$ are unique.

PF (\Rightarrow) Assume that S is a basis for V .

So S is LI & $\text{span}(S) = V$.

So: since S^1 spans V : \exists finite set indices $i_1 < i_2 < i_3 < \dots < i_n \subseteq I$.
 $S^1 = \{i_1, \dots, i_n\}$.
 & $\exists c_1, \dots, c_n \in \mathbb{R}$: Any $\vec{v} \in V = \text{Span}(S^1)$

$$\vec{v} = c_1 \odot \vec{v}_{i_1} \oplus \dots \oplus c_n \odot \vec{v}_{i_n}.$$

NTS this is unique.

Argue by contradiction. Assume not. There's another set of indices $S^2 = \{j_1, \dots, j_m\} \subseteq I$ (increasing), $\exists d_1, \dots, d_m$

$$\vec{v} = d_1 \odot \vec{v}_{j_1} \oplus \dots \oplus d_m \odot \vec{v}_{j_m}.$$

* Let $S^3 = S^1 \cup S^2 = \{l_1, l_2, \dots, l_k\}$, $l_1 < l_2 < \dots < l_k$, $l_c \leq n+m$

$$\text{Let } r_j = \begin{cases} c_j & j = i_1, \dots, i_n \\ 0 & \text{otherwise} \end{cases} \quad s_j = \begin{cases} d_j & \text{if } j = j_1, \dots, j_m \\ 0 & \text{otherwise} \end{cases}$$

$$\vec{v} = r_1 \vec{v}_{l_1} + r_2 \vec{v}_{l_2} + \dots + r_k \vec{v}_{l_k}$$

$$\vec{v} = s_1 \vec{v}_{l_1} + s_2 \vec{v}_{l_2} + \dots + s_{l_c} \vec{v}_{l_k}$$

so: $(*) \quad \vec{0}_V = \vec{v} - \vec{v} = (r_1 - s_1) \vec{v}_{l_1} + (r_2 - s_2) \vec{v}_{l_2} + \dots + (r_k - s_k) \vec{v}_{l_k}$ (VSA 4,7)

Since $S = \{\vec{v}_i \mid i \in I\}$ is Linearly Independent, (*) implies

$$r_1 - s_1 = 0, \dots, r_k - s_k = 0 \iff r_1 = s_1, \dots, r_k = s_k.$$

So not only do the coefficients match so too do the vectors!

(\Leftarrow) Assume any \vec{v} can be written uniquely.

NTS S is LI & $\text{Span}(S) = V$.

Observe: (*) eq in statement of thm is exactly $\text{Span}(S) = V$

• Set-up DTE to check LI:

Let $S' = \{i_1, \dots, i_n\} \subseteq I$ any finite set of indices in I .

consider

$$(*) \quad c_1 \vec{v}_{i_1} + c_2 \vec{v}_{i_2} + \dots + c_n \vec{v}_{i_n} = \vec{0}_V, \quad (c_1, \dots, c_n \in \mathbb{R})$$

• By Uniqueness Assumption: $\vec{0}_V \in V$: so

$$(**) \quad \vec{0}_V = 0 \cdot \vec{v}_{i_1} + 0 \cdot \vec{v}_{i_2} + \dots + 0 \cdot \vec{v}_{i_n}$$

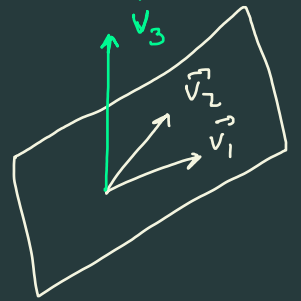
Uniqueness property & (*) & (**): $c_1 = 0, \dots, c_n = 0!$

so S' is LI. □

Thm ~~★~~ Extension Theorem ~~★~~

$S' = \{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$ be a finite, linearly independent set of vectors in V .

Suppose $\vec{v}_{n+1} \notin \text{Span}(S)$. Then



$S' = S \cup \{\vec{v}_{n+1}\} = \{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n, \vec{v}_{n+1}\}$
is still LI ∇

Sketch DTE: $c_1 \vec{v}_1 + c_2 \vec{v}_2 + \dots + c_n \vec{v}_n + c_{n+1} \vec{v}_{n+1} = \vec{0}_V$

Case $c_{n+1} = 0$

Case $c_{n+1} \neq 0$ □

Thm ★ DEEP ★ Every vector space has a basis.

Pf way outside our scope. □

DIMENSION

(V, \oplus, \odot) , $W \leq V$

def dimension of V is Card(B), where B is a basis.

Recall when Card(B) is finite, we call V a finite-dimensional vector space. ~~★~~

Otherwise, say V is infinite-dimensional.

Agree: $\{\vec{0}_V\}$ has no basis (or basis = \emptyset)

Q Can a vector space have 2 different dimensions? NO!

If B & B' are two bases for V then $\text{Card}(B) = \text{Card}(B')$

↳ dimension is unique!

Thm Dep/Ind sets from Spanning sets Thm (Gen V -version)

Suppose $S = \{\vec{w}_1, \dots, \vec{w}_n\}$, $\text{Card}(S) = n$. Let $W = \text{Span}(S)$.

Suppose we randomly choose m vectors \vec{u}_i from W & form:

$$L = \{\vec{u}_1, \vec{u}_2, \dots, \vec{u}_m\} \subseteq W$$

Then:

- if $m > n$ then L is LD.
- if L is LI then $m \leq n$

Pf Basically, same as before. \square

Thm

Any two bases from a finite-dimensional vector space have exactly the same # of vectors.

↳ $\dim(V)$ is unique.

Pf B is a basis for V & so is B' .

• $\text{Span}(B) = V = \text{Span}(B')$

• Get: $B \subseteq \text{Span}(B')$ & $B' \subseteq \text{Span}(B)$.

• Apply Dep/Ind Set from Spanning sets theorem:

$$\text{Card}(B) \leq \text{Card}(B') \quad \& \quad \text{Card}(B') \leq \text{Card}(B)$$

So =

\square

Remark This theorem is true even for infinite dimensional V's.

Ex • $B_n = \{1, x, x^2, \dots, x^n\}$ is a basis for \mathbb{P}^n

• $B = \{1, x, x^2, \dots, x^n, \dots\} = \{x^n \mid n \in \mathbb{N}\}$ is a basis for \mathbb{P} .

$\dim(\mathbb{P}) = \text{infinite-dimensional} = \aleph_0$

• $\mathcal{S}_3 = \{e^{kx} \mid k \in \mathbb{R}\}$: uncountably indexed.

\mathcal{S}_3 is a basis for $\text{Span}(\mathcal{S}_3)$.

• $E = \{E_{ij} \mid 1 \leq i \leq m, 1 \leq j \leq n\}$ is a basis for $M_{m \times n}(\mathbb{R})$.


Thm $(W, \oplus, \odot) \leq (V, \oplus, \odot)$, assume V finite-dimensional.

• If $\dim(V) = n \in \mathbb{N}$ (fixed). Then $\dim(W) \leq n$.

• $\dim(W) = n$ iff $W = V$.

Rmk • still work for ∞ -dim'l, replace n w/ $\text{card}(B)$ for B a basis of V (part 1 only)

• Ex $W = \mathcal{C}(\mathbb{R}, \mathbb{R})$ & $V = \mathcal{F}(\mathbb{R}, \mathbb{R})$. Both are ∞ -dim'l but not the same!

$f \in V \setminus W$:  W is ∞ -dim'l
 b/c $\mathbb{P} \subseteq W$.
 $B = \{x^n \mid n \in \mathbb{N}\}$
 $\text{card}(B) = \aleph_0$
 so $W \neq V$

Ex $W = \{p \in \mathbb{P}^2 \mid \underline{p(3) = 2p(-1)}\}$. Is a subspace of \mathbb{P}^2

① $W \neq \emptyset$: $z(x) \in W$ b/c $z(3) = 0 = 2 \cdot 0 = 2 \cdot z(-1)$.

② CVA: $p_1, p_2 \in W$:
 •) $p_1(3) = 2 \cdot p_1(-1)$
 ••) $p_2(3) = 2 \cdot p_2(-1)$

$(p_1 + p_2)(3) = p_1(3) + p_2(3) = 2p_1(-1) + 2p_2(-1) = 2(p_1 + p_2)(-1)$. So $p_1 + p_2 \in W$.

